## Complaints received by DT including default cases [March 31, 2024] SEBI Master circular for Debenture Trustee SEBI/HO/DDHS-PoD3/P/CIR/2024/46 dated May 16, 2024

| No. of pending Complaints<br>at the end of last half year<br>(30th September 2023) | No. of complaints<br>received during<br>the half year | No. of complaints<br>resolved during the<br>half year<br>(within 30 days)** | No. of complaints<br>pending at the end<br>of half year<br>(for more than 30<br>days)* | Nature of the Complaint(s)*        |                                      |           | Steps taken to resolve the pending complaints*  | Remarks, if any.                  |
|--|---|---|--|------------------------------------|--------------------------------------|-----------|---|-----------------------------------|
|  |   |   |  | Delay in<br>payment of<br>interest | Delay in<br>payment of<br>redemption | Any other |   |                                   |
| 1  | ( Including 4 SCORES complaints)                      | 203 (Including 3 SCORES complaints)   | 1  | 6                                  | 1                                    |           | ATSL received a complaint on the SCORES portal on 26.03.2024 regarding receipt of payment of both 1st tranche and 2nd tranche payment and to know a the status of issue of security receipts and optionally convertible debentures is as per the approved resolution plan. The required information was provid investor through our email dated 28.03.2024. The action taken report was se SEBI through our email dated 28.03.2024. Subsequently the ATR was also uplo on the SCORES portal on 01.04.2024 and the complaint was found disposed of SEBI portal on the same date. | out<br>ued<br>I to<br>t to<br>ded |

<sup>\*</sup>The nos. presented here are based on the Complaints received, resolved or unresolved on half year basis . For aggregate list of outstanding complaints refer website disclosure made under "Data of Complaints against and in respect of Non-Convertible Debt Securities dealt by ATSL as Debenture Trustees (DTs)" by clicking on below link:

https://www.axistrustee.in/api/images/pdf/investor/Report%20as%20on%2031-03-2024.pdf

<sup>\*\*(193</sup> cases were treated as closed as these were related to the issuer companies which were under the liquidation, CIRP, subjudice etc. from the year 2013 onwards and complainant(s) did not raise any further query on the same - ATSL regularly updated the status of said cases on its website)